1	Callie A. Bjurstrom, State Bar No. 137816 Michelle A. Herrera, State Bar No. 209842
2	LUCE, FORWARD, HAMILTON & SCRIPPS LLP 600 West Broadway, Suite 2600 Son Diago, Colifornia 02101, 2272
3	San Diego, California 92101-3372 Telephone No.: 619.236.1414
4	Fax No.: 619.232.8311 cbjurstrom@luce.com
5	mherrera@luce.com
6	Attorneys for Plaintiffs
7	William M. Parrish (<i>Pro Hac Vice</i>) Michael P. Adams (<i>Pro Hac Vice</i>)
8	H. Carl Myers (<i>Pro Hac Vice</i>) Brian Mangum, State Bar No. 242208
9	WINSTEAD PC 401 Congress Avenue, Suite 2100
10	Austin, TX 78701 Telephone No.: 512.370.2800
11	Fax No.: 512.370.2850 wparrish@winstead.com
12	madams@winstead.com cmyers@winstead.com
13	bmangum@winstead.com
14	Attorneys for Plaintiffs INSWEB CORPORATION and AUTO INTERNET MARKETING, INC.
15	TOTO INTERCED MARKETING, INC.
16	Barton E. Showalter (<i>Pro Hac Vice</i> Application Pending) Douglas M. Kubehl (<i>Pro Hac Vice</i> Application Pending)
17	David O. Taylor (<i>Pro Hac Vice</i> Application Pending) BAKER BOTTS L.L.P.
18	2001 Ross Avenue Dallas, Texas 75201-2980
19	Telephone No.: 214.953.6500 Fax No.: 214.953.6503
20	Attorneys for Plaintiff LEADPOINT, INC.
21	Patrick A. Fraioli, Jr., State Bar No. 191824
22	David Bricker, State Bar No. 158896 Rowena Santos, State Bar No. 210185
23	MOLDO DAVIDSON FRAIOLI SEROR & SESTANOVICH LLP
24	2029 Century Park East
25	Los Angeles, CA 90067 Telephone No.: 310.551.3100
26	Fax No.: 310.551.0238
27	Attorneys for Plaintiff INTERNET BRANDS, INC.
20	

C	ase 3:08-cv-00447-WQH-LSP Document 27	Filed 07/03/2008 Page 2 of 22					
1							
2							
3							
4							
5							
6							
7							
8	UNITED STATES	DISTRICT COURT					
9	SOUTHERN DISTRI	CT OF CALIFORNIA					
10							
11	INSWEB CORPORATION, LEADPOINT, INC., INTERNET BRANDS, INC., AND	Case No. 08-CV-0447 WQH (LSP)					
12	AUTO INTERNET MARKETING, INC.	PLAINTIFFS' SECOND AMENDED COMPLAINT FOR PATENT					
13	Plaintiffs,	INFRINGEMENT; DEMAND FOR JURY TRIAL					
14	V.						
15	AUTOBYTEL, INC., AUTOBYTEL I CORP., f/k/a AVV, INC., DOMINION						
16	ENTERPRISES, RETENTION PERFORMANCE MARKETING, INC., AND						
17	ONECOMMAND, INC.						
18	Defendants.						
19							
20	Plaintiffs, InsWeb Corporation ("InsWeb"	"), LeadPoint, Inc. ("LeadPoint"), Internet Brands,					
21	Inc. ("Internet Brands"), and Auto Internet Ma	rketing, Inc. ("AIM") (collectively, "Plaintiffs")					
22	complain of defendants, Autobytel, Inc. ("Autoby	rtel"), Autobytel I Corporation, formerly known as					
23	AVV, Inc. ("AVV"), Dominion Enterprises ("	Dominion Enterprises"), Retention Performance					
24	Marketing, Inc. ("RPM") and OneCommand, Inc., formerly known as Call Command, Inc.						
25	("OneCommand") (collectively, "Defendants") a	s follows:					
26	1//						
27	///						
28	///						
		Complete ON ONE OART WORK (LOD)					

NATURE OF ACTION

1. This is a claim for patent infringement arising under the patent laws of the United States, Title 35 of the United States Code, including 35 U.S.C. §§ 271 and 281.

JURISDICTION AND VENUE

- 2. This Court has jurisdiction over the subject matter of this action under 28 U.S.C. § 1338(a).
- 3. Defendants are subject to personal jurisdiction in this judicial district because, upon information and belief, each Defendant has transacted and is transacting business and has regularly solicited and is soliciting business in California and specifically in this district.
- 4. The Defendants are also subject to personal jurisdiction in this judicial district because, upon information and belief, each Defendant has committed and/or is committing acts of infringement in this judicial district.
- 5. Venue is proper under 28 U.S.C. § 1391(b) and (c) and 1400 (b), as each defendant is subject to personal jurisdiction, does business, and has committed acts of infringement in this judicial district.

PLAINTIFFS AND THE PATENT-IN-SUIT

- 6. The patent-in-suit is United States Patent No. 6,898,597 ("the '597 patent"), entitled "Event Log" (Exhibit A). The '597 patent was filed on November 9, 1999 and issued on May 24, 2005.
- 7. InsWeb is a corporation organized and existing under the laws of the State of Delaware and has its principal offices at 11290 Pyrites Way, Suite 200, Gold River, California 95670. Plaintiff LeadPoint is a Delaware Corporation with its principal offices at 1661 San Vicente Boulevard, Suite 800, Los Angeles, California 90049. Internet Brands is a Delaware Corporation with its principal offices at 909 North Sepulveda Boulevard, 11th Floor, El Segundo, California 90245. AIM is a Corporation with its principal offices at 2495 Enterprise Road, Suite 201, Clearwater, Florida 33763.
- 8. Plaintiffs are co-owners of all rights, title, and interest in and each has standing to sue for infringement of the '597 patent.

1

3

4

5

6

8

7

10

9

12

11

14

13

16

15

17

18

19

20 21

22

23

24

25

26 27

28

9. Plaintiffs have complied with the requirements of 35 U.S.C. § 287 to the extent such requirements are applicable to this suit.

DEFENDANT AUTOBYTEL

- 10. Defendant Autobytel is a Delaware Corporation with its principal place of business at 18872 MacArthur Boulevard, Irvine, California 92612. Defendant Autobytel may be served through its registered agent for service of process, CT Corporation System, at 818 West Seventh Street, Los Angeles, California 90017.
- 11. Defendant Autobytel, directly or by and through its subsidiaries, agents, representatives, and/or assignees, marketed and/or sold the WebControl internet lead management software product ("WebControl") and marketed and/or sold its Retention Performance Marketing technology ("RPM technology") (collectively, WebControl and the RPM technology shall be referred to herein as the "Technology").
- 12. Upon information and belief, Defendant Autobytel, directly or through its agents, representatives, and/or assignees, has infringed one or more of the claims of the '597 patent in violation of 35 U.S.C. § 271 by, without authority, (1) making, using, offering to sell and selling systems and/or methods that embody the invention claimed in the '597 patent; and/or (2) actively inducing and/or contributing to others' infringement of the invention claimed in the '597 patent. Defendant Autobytel's infringing activities have occurred at least through the operation, sale, and/or promotion of the Technology.
- 13. Autobytel's acts of infringement have injured Plaintiffs. Accordingly, Plaintiffs are entitled to recover damages adequate to compensate them for such infringement, but in no event less than a reasonable royalty.
- 14. Autobytel, by and through its wholly-owned subsidiary, Defendant AVV, purported to sell assets infringing on plaintiffs' '597 patent, including but not limited to purported rights in the Web Control technology, to Defendant Dominion Enterprises pursuant to an Asset Purchase Agreement dated January 23, 2008. In connection with the sale, certain contracts relating to AVV's business were assigned to Defendant Dominion Enterprises. The purchase price pursuant to that agreement was \$22,750,000. Autobytel, by and through its affiliated business, Defendant RPM, sold

6

7

8

9 10

11

12

13

14

16

17

15

18 19

20 21

22

23 24

25

26

27

///

///

28

its Retention Performance Marketing business, including but not limited to purported rights in the RPM technology infringing on plaintiffs' '597 patent, to Defendant OneCommand (formerly known as Call Command) pursuant to a Stock Purchase Agreement dated June 29, 2007. In connection with the sale, certain contracts relating to RPM's business were assigned to Defendant OneCommand. The purchase price pursuant to that agreement was \$7,660,840.

DEFENDANT AVV

- 15. Defendant Authobytel I Corporation is a Delaware corporation formerly known as AVV, Inc. and referred to herein as "AVV." AVV is a wholly-owned subsidiary of Defendant Autobytel. Upon information and belief, AVV's principal place of business is 9200 Worthington Road, Westerville, Ohio 43082. Defendant AVV may be served through its registered agent for service of process, CT Corporation System, at 818 West Seventh Street, Los Angeles, California 90017.
- 16. Defendant AVV, directly or by and through its parent, agents, representatives, and/or assignees, developed, marketed, and/or sold the WebControl internet lead management software product ("WebControl").
- 17. Upon information and belief, AVV has infringed one or more claims of the '597 patent in violation of 35 U.S.C. § 271 by, without authority, (1) making, using, offering to sell and selling systems and/or methods that embody the invention claimed in the '597 patent; and/or (2) actively inducing and/or contributing to others' infringement of the invention claimed in the '597 patent. AVV's infringing activities have occurred at least through the creation, operation, sale, and/or promotion of WebControl.
- 18. Pursuant to an Asset Purchase Agreement dated January 23, 2008, Defendant AVV purported to sell assets infringing on plaintiffs' '597 patent, including but not limited to WebControl, to Defendant Dominion Enterprises. In connection with the sale, certain contracts relating to AVV's business were assigned to Defendant Dominion Enterprises. The purchase price pursuant to that agreement was \$22,750,000.

3

5

6

4

7 8

9 10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

DEFENDANT DOMINION ENTERPRISES

- 19. On information and belief, Defendant Dominion Enterprises is a general partnership organized under the laws of the State of Virginia.
- 20. Dominion Enterprises entered into an Asset Purchase Agreement with Defendants Autobytel and AVV on or about January 23, 2008, for a purchase price of \$22,750,000.
- 22. Pursuant to that agreement, Dominion Enterprises purchased from Defendants Autobytel and AVV certain assets, including but not limited to the WebControl internet lead management software product ("WebControl"), that infringes on the invention that is the subject of the '597 patent. Certain contracts relating to AVV's business were also assigned to Dominion Enterprises in connection with that agreement.
- 23. Upon information and belief, Dominion Enterprises has infringed one or more claims of the '597 patent in violation of 35 U.S.C. § 271 by, without authority, (1) making, using, offering to sell and selling systems and/or methods that embody the invention claimed in the '597 patent; and/or (2) actively inducing and/or contributing to others' infringement of the invention claimed in the '597 patent. Dominion Enterprises' infringing activities have occurred at least through the operation, sale, and/or promotion of the WebControl software.
- 24. Upon information and belief, Defendant Dominion Enterprises continues to promote, advertise, offer for sale and sell the infringing products, including WebControl.
- 25. Dominion Enterprises' acts of infringement have injured Plaintiffs. Accordingly, Plaintiffs are entitled to recover damages adequate to compensate it for such infringement, but in no event less than a reasonable royalty.
- 26. Dominion Enterprises' acts of infringement will continue to injure Plaintiffs, unless and until this Court enters an injunction prohibiting further infringement of the claimed inventions in the '597 patent.

DEFENDANT RPM

- 27. Defendant Retention Performance Marketing, Inc. ("RPM") is a Delaware Corporation with its principal place of business at 1892 MacArthur Blvd., Suite 200, Irvine, California. Defendant RPM may be served through its registered agent for service of process, The Corporation Trust Company, at Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801.
- 28. Defendant RPM, directly or by and through its subsidiaries, agents, representatives, and/or assignees, marketed and/or sold the infringing RPM technology.
- 29. Upon information and belief, Defendant RPM, directly or through its agents, representatives, and/or assignees, has infringed one or more of the claims of the '597 patent in violation of 35 U.S.C. § 271 by, without authority, (1) making, using, offering to sell and selling systems and/or methods that embody the invention claimed in the '597 patent; and/or (2) actively inducing and/or contributing to others' infringement of the invention claimed in the '597 patent. Defendant RPM's infringing activities have occurred at least through the operation, sale, and/or promotion of the RPM technology.
- 30. RPM's acts of infringement have injured Plaintiffs. Accordingly, Plaintiffs are entitled to recover damages adequate to compensate them for such infringement, but in no event less than a reasonable royalty.
- 31. RPM purported to sell its Retention Performance Marketing business, including the purported interest in the RPM technology infringing on plaintiffs' '597 patent, to Defendant OneCommand pursuant to a Stock Purchase Agreement dated June 29, 2007. In connection with the sale, certain contracts relating to RPM's business were assigned to Defendant OneCommand. The purchase price pursuant to that agreement was \$7,660,840.

DEFENDANT ONECOMMAND

32. On information and belief, Defendant OneCommand, Inc., formerly known as Call Command, Inc., ("OneCommand") is a Delaware Corporation that may be served through its registered agent for service of process, The Corporation Trust Company, at Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801.

- 34. Pursuant to that agreement, OneCommand purchased from Defendants Autobytel and RPM certain assets, including but not limited to a purported interest in the RPM technology that infringes on the invention that is the subject of the '597 patent. In connection with the sale, certain contracts relating to RPM's business were assigned to Defendant OneCommand.
- 35. Upon information and belief, OneCommand has infringed one or more claims of the '597 patent in violation of 35 U.S.C. § 271 by, without authority, (1) making, using, offering to sell and selling systems and/or methods that embody the invention claimed in the '597 patent; and/or (2) actively inducing and/or contributing to others' infringement of the invention claimed in the '597 patent. OneCommand's infringing activities have occurred at least through the operation, sale, and/or promotion of the RPM technology.
- 36. Upon information and belief, Defendant OneCommand continues to promote, advertise, offer for sale and sell the infringing products, including the RPM technology.
- 37. OneCommand's acts of infringement have injured Plaintiffs. Accordingly, Plaintiffs are entitled to recover damages adequate to compensate them for such infringement, but in no event less than a reasonable royalty.
- 38. OneCommand's acts of infringement will continue to injure Plaintiffs, unless and until this Court enters an injunction prohibiting further infringement of the claimed inventions in the '597 patent.

21 ///

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

22 ///

23 ///

24 ///

25 ///

26

27 ///

1//

28

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs respectfully request this Court enter judgment against Defendants Autobytel, AVV, Dominion Enterprises, RPM, and OneCommand individually and separately, and against their subsidiaries, successors, parents, affiliates, officers, directors, agents, servants, employees, and all persons in active concert or participation with them, granting the following relief:

- A. The entry of judgment in favor of Plaintiffs and against each Defendant;
- B. An award of damages adequate to compensate Plaintiffs for the infringement that has occurred (together with prejudgment interest from the date the infringement began), but in no event less than a reasonable royalty as permitted by 35 U.S.C. § 284;
- C. A finding that this case is exceptional and award to Plaintiffs their attorneys' fees and costs as provided by 35 U.S.C. § 285;
- D. A permanent injunction prohibiting further infringement, inducement of infringement, and/or contributory infringement of the '597 patent;
- E. A declaratory judgment that Defendants Autobytel, AVV, and RPM had no right or authority to convey any rights in the claimed inventions protected by the '597 patent, and that to the extent the January 23, 2008 Asset Purchase Agreement and/or the June 29, 2007 Stock Purchase Agreement directly or indirectly provides for the conveyance of such rights to Dominion Enterprises and/or OneCommand, that such be declared invalid and unenforceable; and
- F. Such other and further relief to which Plaintiffs are entitled to under law and any other relief that this Court or a jury may deem just and proper.

DATED: July 3, 2008

LUCE, FORWARD, HAMILTON & SCRIPPS LLP

By:

Michelle A. Herrera
Attorneys for Plaintiffs

48558-1 6/13/2008

EXHIBIT A

(12) United States Patent

Cook et al.

(10) Patent No.:

US 6,898,597 B1

(45) Date of Patent:

May 24, 2005

(54) EVENT LOG

(75) Inventors: Gail A. Cook, San Carlos, CA (US); Atul B. Mahajan, Santa Clara, CA (US)

Assignee: Insweb Corporation, Redwood City, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 09/437,890

Filed: Nov. 9, 1999 (22)

(51) Int. Cl.⁷ G06F 17/30 (52) U.S. Cl. 707/10; 707/3

Field of Search 707/1-10, 100-154.1, 707/200-205; 705/9, 14, 34, 19, 77, 40, 79; 709/250, 224, 203

(56)References Cited

U.S. PATENT DOCUMENTS

5,884,312 A	*	3/1999	Dustan et al.	707/10
6,108,662 A	*	8/2000	Hoskins et al.	

FOREIGN PATENT DOCUMENTS

WO WO

WO 98/11702 WO 98/38614

3/1998 9/1998

* cited by examiner

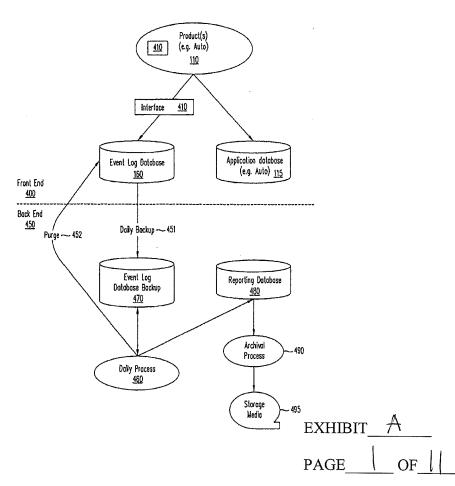
Primary Examiner—Mohammad Ali

(74) Attorney, Agent, or Firm-Campbell Stephenson Ascolese LLP; Marc R. Ascolese

(57)ABSTRACT

An event logging system that monitors for the occurrence of predefined web site usage events having some business significance, records the occurrence of those events, and also records the events' associated context information can advantageously address shortcomings of the prior art. The system includes a software event identification routine, executing within web server software or other web-related application software, that monitors for the occurrence of an event and gathers a desired set of related context information. A database interface, usable by one or more distinct web servers or applications, is used to insert the information into an event database. The event database can later be processed to add, modify, or delete event data, as well as prepare the data for integration into other databases or the preparation of reports.

30 Claims, 5 Drawing Sheets

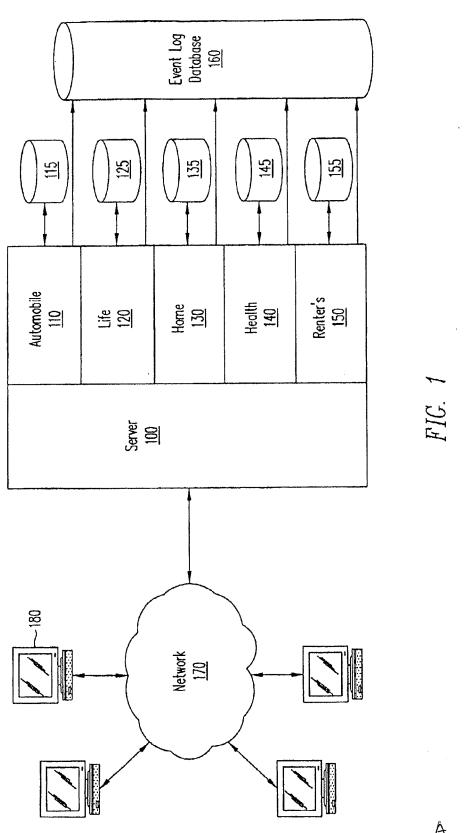


U.S. Patent

May 24, 2005

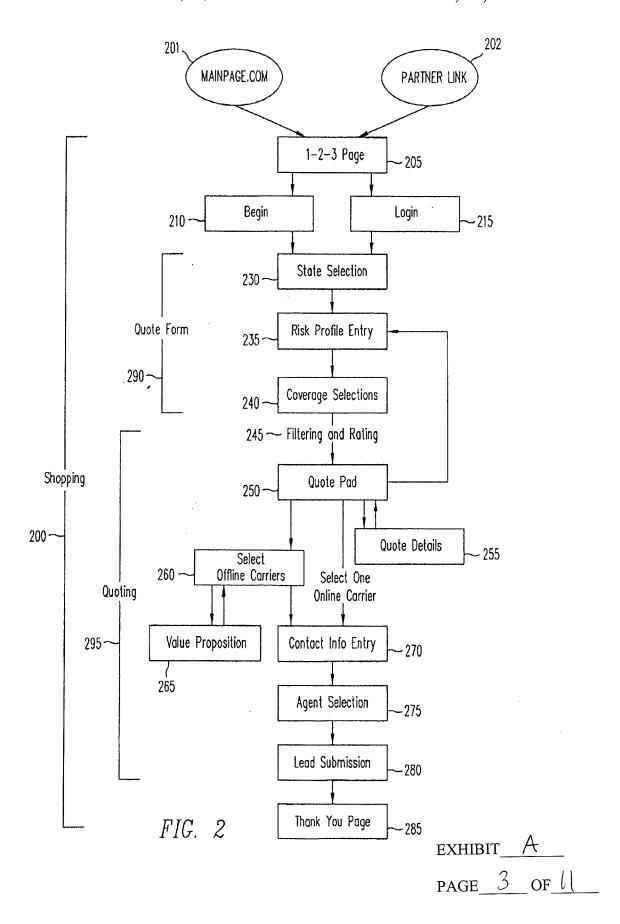
Sheet 1 of 5

US 6,898,597 B1



EXHIBIT_ 2_{OF} PAGE_

U.S. Patent May 24, 2005 Sheet 2 of 5 US 6,898,597 B1



U.S. Patent

May 24, 2005

Sheet 3 of 5

US 6,898,597 B1

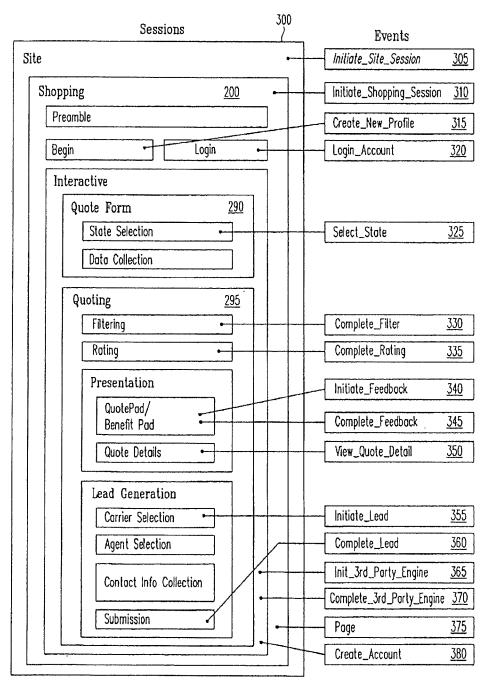


FIG. 3

EXHIBIT

U.S. Patent

May 24, 2005

Sheet 4 of 5

US 6,898,597 B1

	394)																	
пта од	E	ven	ID															
FIG. 3A		Timestamp																
		Program																
				Sit	e S	ess	ion											
					Sh	юрр	ing	Ses	sior)								
						Qu	otin	g S	essi	on								
300		Consumer ID																
390								De		ry D		Sno	apsh	oł				
•	-								Ac	cou	nŧ							
										Co	prrie							
											Qu			oun				
												Ev		tion		OSO!	1	
													Sp	ons				
				ļ										La				
															Po	cka		
700																Lo	çati	
392 396																	90	nner
Initiote_Site_Session	-X	X	X	X	Χ								Χ	Х	Χ	Χ	Χ	
Initiate_Shopping_Session	X	X	X	X	X					ļ		_	Χ	X	Χ	Х	Χ	İ
Create_New_Profile	X	X	Х	X	Χ	L	X				L	L	X	X	X	X	Х	I
Select_State	X	X	X	X	X		χ				_		X	X	Х	Х	Χ	
Complete_Filter	X	Χ	X	X	X	X	χ			X		X	X	X	X	X	X	
Complete_Rating	Х	X	X	X	X	Х	χ			Х	Х	Х	Χ	χ	Χ	Χ	Χ	l
View_Quote_Details	X	X	X	Χ	X	Χ	X			X	X	<u> </u>	X	X	X	X	X	
Initiote_Leod	Х	X	X	Х	Χ	X	χ	X		X	X		X	X	X	X	Χ	
Complete_Lead	X	X	X	Χ	X		χ	Χ		X	X		X	X	X	X	X	
Init_3rd_Party_Engine	X	X	X	X	ļ	├	χ						Χ	Χ	X	٠	X	
Complete_3rd_Party_Engine	X	X	X	X	X	_	X	_		_	_		Χ	Χ	Х	X	Χ	
Initiate_Feedback	X	X	X		X	L	X				<u> </u>	_			_	_		
Complete_Feedback	X	X	X	X	X	X	X		\	_	<u> </u>		\ ,,	٠,	<u>.</u>	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Ų,	
Create_Account	X	X	X		X		X		X				X	X	X	X	X	
Login_Account	X	X	X	X	Χ		Х	_	Х			_	X	X	X	X	X	
Page	X	X	X	X		L.		<u> </u>		<u></u>	L		Χ	χ	Χ	X	X	

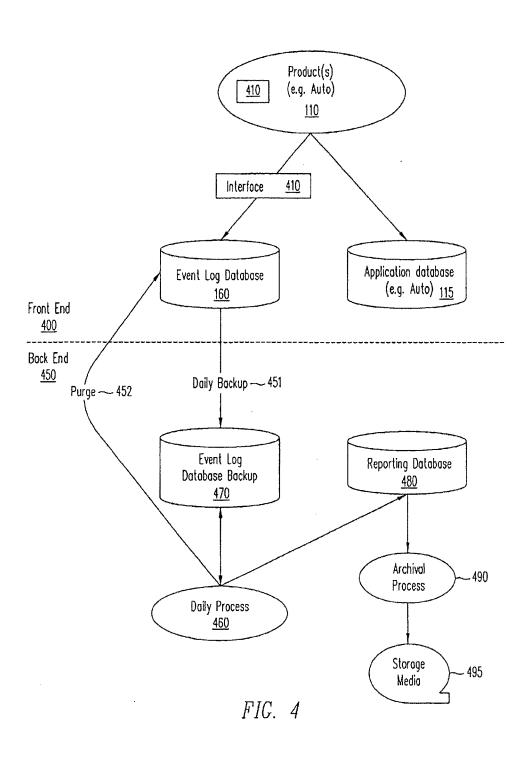
EXHIBI	Γ	4		
PAGE_	5	OF_	[[

U.S. Patent

May 24, 2005

Sheet 5 of 5

US 6,898,597 B1



EXHIBIT_ PAGE OF N

1 EVENT LOG

BACKGROUND OF THE INVENTION

1. Field of the Invention

This invention relates to the client/server computing environment, and particularly recording information about user activity in a client/server computing environment.

2. Description of the Related Art

As more enterprises begin to, or expand their use of, the Internet and the World Wide Web (the "web") for business purposes, they need better information about the usage of their web sites (e.g., web server applications running on server computer systems) as well as better information about 15 those accessing their web sites in order to improve their ability to do business.

Conventional systems for monitoring and analyzing web site activities tend to focus only on the final result of some activity and not the overall context in which the activity 20 occurs. For example, some monitoring systems gather information about web site activity based on the outcome of a user's session, e.g., the information about a purchase made by a user of a web site enabled to process orders, but do not monitor interim steps, e.g., those products that a user began 25 to purchase, but then canceled, or; products that were merely browsed.

Other monitoring systems focus on web traffic by maintaining web server logs or log files that record transactions or activities that take place on the web server. Web servers can passively gather access information about each user by observing and logging the data packets (e.g., transmission control protocol/internet protocol (TCP/IP) packets) exchanged between the web server and the user. An example of such information is an access (a "hit") to a particular hypertext markup language (HTML) file on a web site. Some information about the user and their activity can be determined directly or inferentially from log files, but log file based analysis is generally limited to activities associated with the mechanics of web site use and hypertext 40 transfer protocol (HTTP) transfer, not the business context within which the activity takes place.

Compounding the shortcoming of existing web site monitoring systems, particularly log file implementations, is the 45 fact that enormous quantities of data are gathered, but not necessarily useful business information. Thus, massive log files must be processed to extract useful information, a very time consuming and computer system resource intensive

Accordingly, it is desirable to have a web site monitoring system that can capture useful business activities or events, and the business context in which those activities or events occur. This should be accomplished while minimizing any performance impact on web server processes or web server 55 computing environment (e.g., web client/server computer side data collection processes, particularly the user experience (e.g., the speed with which an HTML document is made available to a user after the user has requested the document, or the ability to handle multiple users). Also, the monitoring system should be easily integrateable into web 60 server processes or web server side data collection processes, while minimizing the amount of data that must be collected, stored, and ultimately analyzed.

SUMMARY OF THE INVENTION

It has been discovered that an event logging system that monitors for the occurrence of predefined web site usage

events having some business significance, records the occurrence of those events, and also records the events' associated context information can advantageously address the aforementioned shortcomings of the prior art. The system includes a software event identification routine, executing within web server software or other web-related application software, that monitors for the occurrence of an event and gathers a desired set of related context information. A database interface, usable by one or more distinct web 10 servers or applications, is used to insert the information into an event database. The event database can later be processed to add, modify, or delete event data, as well as prepare the data for integration into other databases or the preparation of

Accordingly, one aspect of the present invention provides an event logging system for capturing event information associated with activity occurring in an application running on a server computer system configured to provide information to at least one client computer system. The event logging system includes an event identification routine and a database interface. The event identification routine is operable to identify the occurrence of an event during the execution of the application and to transmit context information associated with the event. The database interface is operable to transmit and receive the context information.

In another aspect of the invention, a method of logging event information associated with activity occurring in an application running on a server computer system configured to provide information to at least one client computer system is taught. An occurrence of a predefined event during the execution of the application is identified. Context information associated with the event is transmitted to a database.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention may be better understood, and its numerous objects, features, and advantages made apparent to those skilled in the art by referencing the accompanying

FIG. 1 illustrates a client/server computing environment utilizing an event logging system.

FIG. 2 is a functional diagram of the program flow for a typical insurance product application executing on, for example, a server, such as that illustrated in FIG. 1.

FIG. 3 illustrates typical sessions and events associated with the program flow illustrated in FIG. 2.

FIG. 3A is a matrix listing examples of events and associated context information.

FIG. 4 illustrates many of the components of an event logging system.

DETAILED DESCRIPTION

Client/server computer systems operating in a distributed systems) are routinely used to generate business or business leads for a variety of enterprises. One specific example of this type of business activity is providing insurance information to, and generating leads from users of a web based insurance site. (The focus throughout this application will be on insurance business applications, but those having ordinary skill in the art will readily recognize the applicability of many of the described techniques to a variety of different fields of business and web based client/server activities in general.) The information that is provided by the user varies depending on both the subject of the insurance policy to be underwritten and the type of coverage desired. For instance,

EXHIBIT A

PAGE 7 OF []

3

in the case of automobile insurance, the information provided by the user includes information about the vehicle or vehicles to be covered under the policy, information about the driver or drivers to be covered under the policy, and information about the type of coverage requested by the 5

FIG. 1 illustrates a client/server computing environment for providing insurance product information to users via, for example, an interactive web site, and utilizing an event logging system. Server 100 is typically a web server includ- $_{10}$ ing the necessary hardware and software to serve HTML documents, associated files, and scripts to one or more client computers systems 180 when requested by a user of, or an autonomous program executing on a client computer system. Client computer systems 180 typically utilize HTML $_{15}$ browsers to display the HTML documents, and to generally interact with server 100. As illustrated, server 100 and clients 180 are coupled to each other through a communications network 170, such as the Internet. Server 100 and clients 180 can alternately be coupled to each other through $_{20}$ point-to-point connections, or dedicated connections. Server 100 is typically an Intel Pentium-based or RISC based computer system equipped with one or more processors, memory, input/output interfaces, a network interface, secondary storage devices, and a user interface.

Server 100 includes five different insurance product applications executing on the server hardware: automobile insurance product application 110, life insurance product application 120, home insurance product application 130, health insurance product application 140, and renter's insurance 30 process an insurance quote request. product application 150. Each application provides users, through common web serving software, with functionality and content for different insurance products. As illustrated, insurance product applications 110, 120, 130, 140, and 150 execute on server 100, but one or more of these applications 35 can execute on a separate application server coupled to server 100. Each insurance product application utilizes a respective product database 115, 125, 135, 145, or 155 to store data associated with that particular insurance product, although one or more insurance product applications can 40 share a database. Moreover, each of insurance product applications 110, 120, 130, 140, and 150 includes some or all of the event logging system functionality, so that event identification and context information collection can be tailored to the business or management needs for a particular 45 product. Insurance product applications 110, 120, 130, 140, and 150 share a common event log database 160 for recording events, although individual event log databases can be utilized for one or more of the insurance product applications. Additionally, any of databases 115, 125, 135, 145, 155, 50 and 160 can reside on server 100, or on one or more separate computer systems coupled to server 100. The databases used, and the database management systems (DBMSs) used to allow access to and control of the databases can be based on a variety of database schemes, but are typically relational 55 in nature, for example structured query language (SQL) databases and DBMSs.

FIG. 2 is a functional diagram of the program flow for a typical insurance product application 110, 120, 130, 140, or 150 executing on, for example, server 100. The program 60 flow illustrated in FIG. 2 is common to most insurance products, and includes a sequence of steps or phases in which a user interacts with the product application. Since insurance quotes are typically based on statistical data which is correlated to the information provided by a potential 65 insured, computers can greatly reduce the time and expense associated with generating insurance quotes. In particular,

computer quoting systems allow insurance agents to provide accurate quotes to potential clients in a matter of minutes by inputting the client's information into the system and automatically generating quotes based on the information provided by the client.

A user begins the process by entering the product application through the first page of the product, 1-2-3 page 205 via a hyperlink 201 located on the home page of a web server operating on server 100, or via a hyperlink 202 from another web site, such as a web site belonging to a business partner, portal, or search engine. The first page of the product 205 typically explains the process to follow. The user begins the data entry process either by accessing an existing account on the system (215) or by creating a new account and entering appropriate information about the user.

Because insurance requirements, laws, coverage, and costs vary significantly from state to state, the first step in obtaining quote information is to select a state of residence 230. Next, the user provides information about their risk profile 235. For example, if the user has entered the automobile insurance product application, 235 might be require automobile information, driving record information, and other personal information. In 240, the user provides information about the type and amount of coverage desired, e.g., deductible amount, maximum liability, etc.

Activities in 230, 235, and 240 are generally referred to as quote form activities 290 because they are analogous to traditional paper forms used to gather information needed to

Once the quote form activities 290 are complete, a quoting session 295 is initiated. The process starts with filtering 245, in which the system evaluates a set of rules against the risk profile for each available product offered by an insurance carrier available in the program. Products that pass the filtering process are sent through a rating process to determine the appropriate quote for the user. Quotes are presented to the user via QuotePad 250. QuotePad 250 contains information about both on-line and off-line quotes available to the user. On-line quotes can be presented directly to the user by the insurance product application, and the QuotePad can include links to the providers associated with the on-line quotes. In some instances, a user can request detailed information about the quotes in 255. Still other information might include how to contact an agent or the provider via e-mail, telephone, or traditional mail. Off-line quotes are sent to the user by alternate means such as e-mail or regular mail at a later time, and are selected at 260. When the user selects one or more products for carrier submission, the product selection generates either a request for coverage (RFC or instant lead) or a request for quote (RFQ or delayed lead). With an RFC, the user has been presented with an instant quote for a product. For an RFQ, a carrier is responsible for generating a quote and returning it to the user. Once a user has selected a product for lead submission, information must be collected such as contact information 270 that enables a carrier to respond to the user, or agent selection 275. In 280 the lead is submitted to the carrier, and in 285 an appropriate message is displayed, thanking the user. The process also allows for specialized marketing such as value proposition 265. A value proposition page can include the presentation made by a carrier to users regarding products offered by the carrier. The activities described in FIG. 2 can collectively be described as a shopping session

The act of a user reaching certain places in an insurance product application while navigating the web site (as illusEXHIBIT A
PAGE O OF

Document 27

trated in FIG. 2) and which has an immediate and/or distant business importance can be termed an event. Events typically, although not always, are the result of consumer interaction with the application/web server system. Some samples of events include submission of a lead, creation of 5 an account, and the result of a filtering session. The event logging system captures these events in support of an overall reporting process. Each event occurs within a certain context. For example, a user uses a particular insurance product application, leads are associated with a given carrier, and 10 most events are a result of certain information or actions of a user. The context information should be captured, in addition to the mere occurrence of an event, in order to make the information about the event more meaningful and useful to business.

The information captured by the event logging system can be used in a variety of ways. It can provide raw counts of certain information and/or activities, such as the number of leads, the number of accounts created, session statistics, 20 users who passed/failed filtering for a given carrier, results of rating, quotes viewed, value proposition viewed, and leads submitted. Event log information can be used to establish sets of data for more detailed reporting. For example, if a report based on activity in automobile insur- $_{25}$ ance product application 110 is desired to include average ages of all drivers broken down by state for leads submitted in a given time period, the event log can provide the data to establish the reporting set. While the event log typically will not include age, it will typically include index information 30 such as a user identification (ID) and session ID. A reporting process can use this index information to access age and state information in the appropriate product database, e.g., database 115. Additionally, the information in the event log inside and outside the overall system.

The table below lists a variety of common fields (and their description) used to 10 provide the context information associated with each event.

Event Field	Description
Site Session ID	A unique ID for each user session on the web site
Shopping Session ID	A unique ID for each user session within a product
Event ID	The ID for an event
Event Type	An ID for the type of event
Timestamp	Time-stamp when the event occurred
Program ID	ID of the product application used
Consumer ID	ID of the user/consumer using the product
Location ID	ID of web site from which the user came
Package ID	ID of package having sponsor, label, and program information
Banner ID	ID of a banner from another web site linking to the product application
Carrier ID	ID of the insurance carrier offering quotes
Carrier Display Order	The order in which carrier information is displayed on the Quote Pad
Product ID	ID of a product offered by a carrier
Tier ID	ID of a sub-product or plan within a product offered by a carrier
State	The state where the user wants coverage
Filter Decline Reason	The reason ID for which a user was not filtered
Quote Amount	The premium amount quoted to a user
Vehicle No.	Number of vehicles (insurable units) calculated by the event log back end
Appl Server	Name of the application server on which the insurance product application ran

-continued				
Event Field	Description			
Quoting SID	ID generated by the event log back end to label events within a quoting session			

Note that this table is-by no means exhaustive. A variety of other pieces of context information can be captured depending upon the business needs associated with the application. Any particular event may cause the capture of data for one or more of these fields, but not necessarily all of the fields. Additionally, and as further described below in association with FIG. 4, data capture can occur at the front end, i.e., at the level of the insurance product application, or at the back end, i.e., at the level of an event log database post-processor.

FIG. 3 illustrates typical sessions and events associated with the program flow illustrated in FIG. 2. Site session 300 begins when a user comes to the web site, triggering the lnitiate_Site_Session event 305. Note that FIG. 3A is a matrix 390 listing examples of events 392 and associated context information 394. Use of a particular context information field by a particular event is indicated in the matrix by an X 396. Shopping session 200 begins when the first web page associated with an insurance product application is accessed, thus triggering the Initiate Shopping Session event 310. A user who performs a login using an existing account will trigger Login_Account 320, while a user who creates a new profile/account activates Create_New_ Profile event 315. When the user is performing quote form activity 290, events can be recorded such as Select_State 325. After the filtering process evaluates the set of rules can be used to reconcile data from different sources both 35 against the risk profile for each available product offered by a given carrier, Complete-Filter event 330 is recorded. Complete_Rating 335 occurs when a rating engine has completed for a given carrier. When a user initiates the feedback process associated with one or more quotes provided via the QuotePad, Initiate-Feedback event 340 occurs, and when feedback information has been submitted, Complete_Feedback 345 is recorded. If a user chooses to view a quote detail, that information is recorded as View_ Quote_Detail event 350. Lead generation events include 45 Initiate Lead 355 (when the user has selected a carrier for follow-up), and Complete_Lead 360 (when the user has submitted a lead of a carrier). lnit_3rd_Party_Engine 365 is triggered when a third party engine is invoked to performed a process external to the insurance product application. Similarly, Complete_3rd-Party_Engine 370 is recorded when the external process is completed. Page event 375 is recorded when a particular web page is displayed to a user. Create_Account 380 indicates that the user has successfully created a new account.

> Additional events can, of course, be added as desired. If an event has multiple outcomes an event type is also recorded to cite the specific outcome for a given user. As illustrated in FIG. 3A, not all events utilize all available context information fields. Additionally, event definitions, 60 including when/where they occur and what context information is needed can vary from application to application.

FIG. 4 illustrates an implementation of the event logging system. Front end 400 includes an insurance product application such as automobile insurance product application 110. Application 110 includes event identification routine 410 to signal the occurrence of an event, and to gather the appropriate context information for that event. Routine 410 EXHIBIT___

7

creates an event table, and populates the table with context information as required by the particular event.

The event information (e.g., an event table) is passed to database interface 410 for placement into event log database 160. Where the event log database is implemented as a SQL 5 database, database interface 410 can be a SQL stored procedure which is a precompiled collection of SQL statements stored under a name and processed as a unit. Stored procedures are typically used for managing SQL databases and displaying information about databases and their users. 10 Alternately, interface 410 can be another type of program, or a part of application 110 itself. Where interface 410 is separate from application 110, enhanced modularity is achieved because the same interface can be used by a variety of product applications.

As mentioned above, it is desirable that the front end processes have a minimal impact on the performance of the web server and/or the product application. Consequently, some data processing, including event table populating is deferred to back end processes such as daily process 460. In 20 addition to daily process 460, the back end 450 includes both a daily backup 451 of event log database 160 to event log database backup 470. Daily process 460 typically occurs on a batch basis, and uses information form event log database 160 to produce reporting database 480. Archival process 490 is part of the normal maintenance of system data, and typically includes storage to storage media 495.

Daily process 460 performs a variety of functions, as indicated by for example, data purge 452. The daily process can correct for systematic data or reporting errors; it can replicate or ripple event context information from one event to another, thereby requiring less information to be recorded at the time-sensitive front end; and it can add new information such as new events and their context. For example, if two events occur during the same session, some of the event context information can be identical. By replicating such information on the back end, the duplicate context information need only be recorded once.

Another example of daily process 460's ability to mas- 40 sage data occurs with respect to a session timestamp. For batch processing and reporting purposes, it is often useful to group events based on a logic entity, such as a shopping session. Initiate_Shopping_Session event 310 has an associated timestamp, but because a shopping session might 45 begin hours before sub-events occur (e.g., a quoting session), events associated with the logical entity can span batch processing windows. To force events that occurred during one batch processing window to appear to have occurred during another, and thus preserve all of the data for 50 a particular logical entity, daily process 460 can force various events to have the same session timestamp, and group events by that information rather than some other information, such as actual timestamp. Daily process 460 can, for example, be implemented as a separate program or 55 a SQL stored procedure.

The description of the invention set forth herein is illustrative and is not intended to limit the scope of the invention as set forth in the following claims. Variations and modifications of the embodiments disclosed herein may be made 60 based on the description set forth herein, without departing from the scope and spirit of the invention as set forth in the following claims.

What is claimed is:

1. An event logging system for capturing event informa- 65 tion associated with activity occurring in an application running on a server computer system configured to provide

information to at least one client computer system, the event logging system comprising:

- an event identification routine operable to identify the occurrence of an event during the execution of the application and to transmit context information associated with the event; a database operable to receive and store the context information, wherein the database is a relational database utilizing a structured query language (SQL) and
- a database interface operable to transmit and receive the context information.
- 2. The event logging system of claim 1 wherein the application generates the information provided to the at least one client computer system.
- 3. The event logging system of claim 2 wherein the information provided to the at least one client computer system is product information for at least one of home insurance, life insurance, health insurance, automobile insurance, and renter's insurance.
- 4. The event logging system of claim 2 further comprising a web server application operable to receive, from the application, the information provided to the at least one client computer system, wherein the web server is operable to transmit the information provided to the at least one client computer system.
 - 5. The event logging system of claim 1 wherein the application is a web server application.
- 6. The event logging system of claim 1 wherein the application includes at least one of the event identification routine and the database interface.
- 7. The event logging system of claim 1 wherein the server computer system includes a processor, and wherein at least one of the event identification routine and the database interface is encoded in a computer readable medium as instructions executable on the processor, the computer readable medium being one of a magnetic storage medium, an optical storage medium, and a communications medium conveying signals encoding the instructions.
- 8. The event logging system of claim 1 wherein the database interface is a SQL stored procedure operable to be invoked by the application.
- 9. The event logging system of claim 1 wherein the database interface is operable to add additional context information to the context information received from the event identification routine.
- 10. The event logging system of claim 1 wherein the client computer system is one of a plurality of interconnected client computer systems operating in a distributed computing environment and coupled to the server computer system.
- 11. The event logging system of claim 10 wherein the plurality of interconnected client computer systems and the server computer system are coupled via a network.
- 12. The event logging system of claim 11 wherein network is the Internet.
- 13. The event logging system of claim 1 wherein the event information associated with activity occurring in an application running on a server computer system has a business importance.
- 14. The event logging system of claim 1 wherein the event identification routine is further operable to produce an event table having a plurality of context fields and a plurality of associated context field values.
- 15. The event logging system of claim 1 wherein context information includes at least one of a program session identification, an event identification, an event type, a timestamp, a program identification, and a consumer identification.

9

- 16. The event logging system of claim 1 further comprising
 - a database operable to receive and store the context information; and
 - a database post-processor operable to modify the data-
- 17. The event logging system of claim 16 wherein the database is a relational database utilizing a structured query language (SQL), and wherein the database post-processor is a SQL stored procedure.
- 18. The event logging system of claim 16 wherein the database post-processor is operable to add additional context information associated with the event to the database.
- 19. The event logging system of claim 16 wherein the database post-processor is operable to modify context information associated with the event in the database and to store modified context information in one of the database and another database.
- 20. The event logging system of claim 16 wherein the database includes context information for a plurality of events, and wherein the database post-processor is operable to modify existing context information of a first one of the plurality of events based on context information of a second one of the plurality of events.
- 21. The event logging system of claim 16 wherein the database includes context information for a plurality of events, and wherein the database post-processor is operable to add additional context information for a first one of the plurality of events based on context information of a second one of the plurality of events.
- 22. The event logging system of claim 1 further comprising a database operable to receive and store the context information.
- 23. A method of logging event information associated with activity occurring in an application running on a server computer system configured to provide information to at least one client computer system, the method comprising:

10

identifying an occurrence of a predefined event during the execution of the application; a database operable to receive and store the context information, wherein the database is a relational database utilizing a structured query language (SQL)

transmitting context information associated with the event to a database.

- 24. The method of claim 23 wherein the identifying further comprises populating a table of fields with associated field values depending upon the context information.
- 25. The method of claim 24 wherein the transmitting further comprises transmitting the table of fields with associated field values to the database.
- 26. The method of claim 23 wherein the transmitting further comprises transmitting the context information to a database interface operable to transmit and receive the context information.
- 27. The method of claim 23 further comprising storing the transmitted context information in the database.
- 28. The method of claim 23 encoded in a computer readable medium as instructions executable on a processor, the computer readable medium being one of a magnetic storage medium, an optical storage medium, and a communications medium conveying signals encoding the instructions.
- 29. The method of claim 23 wherein the information provided to the at least one client computer system is product information for at least one of home insurance, life insurance, health insurance, automobile insurance, and renter's insurance.
- 30. The method of claim 23 wherein the context information includes at least one of a program session identification, an event identification, an event type, a timestamp, a program identification, and a consumer identification.

* * * * *

EXHIBIT_	A
PAGE_[OF_[